

JOSHUA C. (“JOSH”) WALLENSTEIN

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SUMMARY

Strategic, results-driven BUSINESS ATTORNEY AND COMPLIANCE EXPERT with extensive domestic and global expertise in contracts and negotiations, risk management, anti-bribery and anti-corruption compliance, sanctions and export controls, internal fraud investigations, joint ventures, mergers and acquisitions, data privacy, and corporate law.

- A seasoned business partner, providing practical, no-nonsense business solutions and advice concerning a wide variety of corporate, business, and transnational legal issues.
- An exceptional contracts lawyer, combining decades of experience (at Big Law and international corporations) with a nuanced sensitivity to risks, liability, costs, benefits, and ultimate contractual goals.
- A trustworthy counsellor on international commerce, advising clients on sanctions enforced by the Office of Foreign Assets Control (OFAC), export controls issues enforced by the International Traffic in Arms Regulations (ITAR) and the Export Administration Regulations (EAR), and anti-corruption regulations enforced by the Foreign Corrupt Practices Act (FCPA) and other anticorruption laws.
- A recognized expert on corruption and human rights, working with multinational organizations such as the United Nations, the Inter-American Court of Human Rights, and the World Bank (which selected him as its Compliance Expert in a debarment matter).
- An outstanding systems architect, with a successful track record in both designing and implementing ethics, anti-corruption compliance, sanctions, export controls, and risk management programs, and testing, measuring, and monitoring their effectiveness. Identifies gaps, analyzes trends, and reports findings in a business-savvy manner.
- A superior investigative talent, expertly utilized to rapidly and credibly advance internal investigations to conclusion and advocate before foreign and domestic regulatory authorities (including the U.S. Department of Justice, the U.S. Securities and Exchange Commission, the World Bank, and the Australian Federal Police).
- An innovator of effective legal department solutions to mitigate risks, increase efficiency, reduce costs, and achieve key corporate objectives.
- A trilingual attorney, with substantial international exposure and multicultural experience.

EXPERIENCE

THE WALLENSTEIN LAW GROUP, *Houston, Texas*
Founder and President, 2017-present

Leads a boutique legal and compliance law firm excelling in quick, practical solutions to legal and business problems:

- ***Fractional General Counsel / External Transactions Support***: Offers contract development and negotiations, third party due diligence, in-house advisory work, and department management. Extensive experience with cross-industry benchmarking and metrics design.
- ***Business and Corporate Law***: Advises on joint ventures, mergers and acquisitions, supply chain due diligence (and processes and procedures), security issues, labor issues, records management, risk management, and corporate governance.
- ***International Regulatory Compliance Expert***: Develops and refines ethics, anti-corruption compliance, and trade compliance programs, inclusive of codes of conduct, policies, third party due diligence / know your partner systems, sanctions and export controls compliance systems, and internal controls. Advises on a wide range of compliance matters, including anti-fraud / anti-corruption / anti-money laundering concerns, antitrust and competition laws, sanctions / boycotts / export controls, GDPR and data privacy, and KYC / third party due diligence.
- ***Privileged Investigations***: Conducts privileged internal investigations and audits, and, when necessary, represents clients before enforcement agencies.
- ***Risk Management***: Designs and implements global risk and fraud assessments and gap analyses. Develops policies, controls, and multilingual training and communications to mitigate risk.

ADDITIONAL EXPERIENCE

MCDERMOTT INTERNATIONAL, *Houston, Texas, USA*
Chief Compliance Officer and Assistant General Counsel, 2015-2017

Global Director of Ethics and Compliance & Senior Counsel, 2012-2014

Globally administered ethics and compliance and trade compliance programs for a multi-billion-dollar public company. Managed a global team and reported to the Board and executive suite. Oversaw risk and program leadership, third-party due diligence, global investigations, policies / procedures, training and certifications, and client service.

WEATHERFORD INTERNATIONAL, *Dubai, United Arab Emirates*

Region Compliance Counsel, Middle East and North Africa, 2010-2012.

Managed regional compliance duties within a broad portfolio for countries in the Middle East (including Iraq), North Africa, and Central Asia, Assisted the global compliance function in its discussions with U.S. enforcement authorities, including the U.S. DOJ, the U.S. SEC, the U.S. Department of Commerce, and the U.S. Office of Foreign Assets Control (Treasury).

BAKER HUGHES, *Mexico City, México*

Region Compliance Counsel, Latin America, 2008-2010.

Managed regional compliance duties in English, Spanish, and Portuguese for countries in Latin America. Advocated on the company's behalf with the Monitor imposed under a Deferred Prosecution Agreement with the U.S. DOJ relating to past FCPA-related violations.

THE CORPORATE EXECUTIVE BOARD, *Washington, DC, USA*

Research Director, Legal and Compliance Practices, 2005-2007.

LINKLATERS, *New York, NY, USA*

Associate, 2002-2005.

EDUCATION

SOUTHERN METHODIST UNIVERSITY, Dallas, Texas, USA

Bachelor of Arts with Honors, magna cum laude

Phi Beta Kappa, 1997

International Studies, Latin American Studies, Spanish

STANFORD LAW SCHOOL, Stanford, California, USA

Juris Doctor, 2002

BAR ADMISSIONS

Texas, New York, the District of Columbia, and the US Supreme Court

AFFILIATIONS

- Director, TRACE Foundation Board
- Director, Harris County Democratic Lawyers Association
- Director, Texas Business Leader Alliance
- Alumnus, Leadership Houston
- Alumnus, Center for Houston's Future
- Member, Leadership Now

PUBLICATIONS

- "Aspectos anticorrupción de América Latina." *Transacciones petroleras internacionales en América Latina*, ed. Colmener, Ricardo y Enríquez, David. (2011).
- "Bandits at the Well: Micro-democracy and Micro-extortion at Well Sites in Mexico." *6 Tex. J. Oil Gas & Energy L. 1* (2010-2011).
- "Punishing Words: An Analysis of the Necessity of the Element of Causation in Prosecutions for Incitement to Genocide." *Stan. L. Rev.*, 54:2, 2001.

LECTURES

Multi-year guest lecturer - Texas A&M University School of Law

LANGUAGES

Native English speaker. Fluent in Spanish and conversational in Portuguese.