**JOSHUA C. (“JOSH”) WALLENSTEIN**

13543 North Tracewood Bend || Houston, Texas 77077 || (713) 598-4581

josh@wall-pc.com || LinkedIn: joshwallenstein || Instagram: joshwallenstein

**SUMMARY**

Strategic, results-driven BUSINESS ATTORNEY AND COMPLIANCE EXPERT with extensive domestic and global expertise in contracts and negotiations, risk management, anti-bribery and anti-corruption compliance, internal fraud investigations, international trade law, joint ventures, mergers and acquisitions, data privacy, and corporate law.

* Seasoned advisor, providing practical, no-nonsense business solutions and advice concerning a wide variety of corporate, business, and transnational legal issues.
* Exceptional contracts lawyer, combining two decades of experience with a nuanced sensitivity to risks, liability, costs, benefits, and ultimate contractual goals.
* Superior investigative talent, expertly utilized to rapidly and credibly advance internal investigations to conclusion and advocate before foreign and domestic regulatory authorities (including the U.S. DOJ, the U.S. SEC, the World Bank, and the Australian Federal Police).
* Successful track record in both designing and implementing compliance and risk management programs, and testing, measuring, and monitoring their effectiveness. Identifies gaps, analyzes trends, and reports findings in a business-savvy manner.
* Demonstrated compliance subject matter expertise as, *inter alia*, Compliance Expert for a World Bank debarment matter and interim Compliance Officer for a German company negotiating the end of a US DOJ DPA.
* Innovator of effective legal department solutions to mitigate risks, increase efficiency, reduce costs, and achieve key corporate objectives.
* Trilingual ability, with substantial international exposure and multicultural experience.

**EXPERIENCE**

THE WALLENSTEIN LAW GROUP, Houston, Texas

**Managing Member,** 2017-present

Leads a boutique legal and compliance law firm excelling in quick, practical solutions to legal and business problems:

* ***Fractional General Counsel / External Transactions Support***: Offers contract development and negotiations, third party due diligence, in-house advisory work, and department management. Extensive experience with cross-industry benchmarking and metrics design.
* ***Business and Corporate*** ***Law***: Advises on joint ventures, mergers and acquisitions, supply chain due diligence (and processes and procedures), security issues, labor issues, records management, risk management, and corporate governance.
* ***Privileged Investigations***: Conducts privileged internal investigations and audits, and, when necessary, represents clients before enforcement agencies.
* ***Compliance Expert:*** Develops and refines ethics and compliance programs, inclusive of codes of conduct, policies, third party due diligence/know your partner systems, and internal controls. Evaluates and advocates for programs before US and international enforcement agencies (e.g., the US DOJ, the World Bank). Advises on a wide range of compliance matters, including anti-fraud / anti-corruption / anti-money laundering concerns, antitrust and competition laws, sanctions / boycotts / export controls, GDPR and data privacy, and KYC / third party due diligence.
* ***Risk Management***: Designs and implements global risk and fraud assessments and gap analyses. Develops policies, controls, and multilingual training and communications to mitigate risk.

MCDERMOTT INTERNATIONAL, Houston, Texas

**Chief Compliance Officer and Assistant General Counsel,** 2015-2017

**Global Director of Ethics and Compliance & Senior Counsel,** 2012-2014

Globally administered ethics and compliance and trade compliance programs for a multi-billion-dollar public company. Managed a global team and reported to the Board and executive suite. Oversaw risk and program leadership, third-party due diligence, global investigations, policies / procedures, training and certifications, and client service.

* ***Risk and Program Leadership: Design, Testing, and Review:*** Redesigned programs around a comprehensive and defensible internal controls framework based on U.S. and global legal and compliance principles. Measured and monitored programs, utilizing specific metrics and expert analysis. Performed global and country-specific comprehensive risk assessments, crafted appropriate mitigation strategies, and drove implementation. Advanced automated program platforms to increase efficiency and reduce cost. Evaluated and addressed existing / emerging global legal and compliance risks and trends.
* ***Third-Party Due Diligence:*** Administered internal due diligence and periodic certification procedures for all third-party agents, co-venturers, and other stakeholders. Directed compliance reviews of proposed joint venture and acquisition agreements, analyzed and edited client/agent/vendor contracts, and implemented and monitored external certification initiatives.
* ***Investigations:*** Maintained and communicated diverse internal reporting mechanisms. Directed and conducted privileged internal investigations, led internal and external audits, and analyzed significant findings and trends. Liaised with the U.S. DOJ and the Australian Federal Police.
* ***Policies, Procedures, and Internal Controls:*** Designed and implemented global policies, procedures, guidelines, and other internal controls for the company, its affiliates, and joint ventures.
* ***Training and Certification:*** Created, provided, and tracked live legal and compliance training programs for general and role-specific audiences across the globe. Effected internal employee annual certification initiatives.

**ADDITIONAL EXPERIENCE**

WEATHERFORD INTERNATIONAL, Dubai, United Arab Emirates, **Region Compliance Counsel, Middle East and North Africa,** 2010-2012.Managed regional compliance duties within a broad portfolio for countries in the Middle East (including Iraq), North Africa, and Central Asia, Assisted the global compliance function in its discussions with U.S. enforcement authorities, including the U.S. DOJ, the U.S. SEC, the U.S. Department of Commerce, and the U.S. Office of Foreign Assets Control (Treasury).

BAKER HUGHES, Mexico City, México, **Region Compliance Counsel, Latin America**, 2008-2010. Managed regional compliance duties in English, Spanish, and Portuguese for countries in Latin America. Advocated on the company’s behalf with the Monitor imposed under a Deferred Prosecution Agreement with the U.S. DOJ relating to past FCPA-related violations.

**EDUCATION**

SOUTHERN METHODIST UNIVERSITY, Dallas, Texas

**Bachelor of Arts with Honors, *magna cum laude***

***Phi Beta Kappa,*** 1997

*International Studies, Latin American Studies, Spanish*

STANFORD LAW SCHOOL, Stanford, California

**Juris Doctor,** 2002

**BAR ADMISSIONS**

Texas, New York, and the District of Columbia

**AFFILIATIONS**

* Director, TRACE Foundation Board
* Director, Harris County Democratic Lawyers Association

**PUBLICATIONS**

* “Aspectos anticorrupción de América Latina.” Transacciones petroleras internacionales en América Latina, ed. Colmenter, Ricardo y Enríquez, David. (2011).
* “Bandits at the Well: Micro-democracy and Micro-extortion at Well Sites in Mexico.” 6 Tex. J. Oil Gas & Energy L. 1 (2010-2011).
* “Punishing Words: An Analysis of the Necessity of the Element of Causation in Prosecutions for Incitement to Genocide.” Stan. L. Rev., 54:2, 2001.

**LECTURES**

Multi-year guest lecturer - Texas A&M University School of Law

**LANGUAGES**

Native English speaker. Fluent in Spanish and conversational in Portuguese.